

Negative Concord and Restructuring in Palestinian Arabic: A Comparison of TAG and CCG Analyses

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Abstract

This paper discusses interactions between negative concord and restructuring/clause union in Palestinian Arabic. Analyses formulated in Tree Adjoining Grammar and Combinatorial Categorical Grammar are compared, with the conclusion that a perspicuous analysis of the intricacies of the data requires aspects of both formalisms; in particular, the TAG notion of the extended domain of locality and the CCG notion of flexible constituency.

1 Palestinian Arabic Negative Concord

In Palestinian Arabic (PA), negative concord occurs with the determiner **wela** “(not) even one,” where negative concord describes the failure of an expression which expresses negation in some sentences to do so in others. “**wela**-phrases” are interpreted either as negative quantifiers (“NQ-**wela**”) or as polarity-sensitive indefinites (“NPI-**wela**”).

The NQ-interpretation is available preceding the finite verb or verb complex in a clause (1-2) or in fragment answers (3-4):

- (1) **wela** **ntfit** **anu:θa** $\text{\textcircled{f}}$ md-ik.
not.even bit femininity at-you(fs)
“You don’t have the least bit of femininity!”
- (2) **wela** **yo:m** $\text{\textcircled{f}}$ ağabni l-ekil.
not.even day pleased.3ms-me the-food
“There wasn’t even one day the food pleased me!”
- (3) Q: šu $\text{\textcircled{f}}$ al-l-ak? A: **wela** **iši**.
what said.3ms-to-you not.even thing
“What did he say to you? Nothing at all.”
- (4) Q: min šofti? A: **wela** **šu:s** ibn yome:n.
who saw.2fs not.even chick son two-days
“Who did you see? Not even a two-day old chick!”

A preverbal **wela**-phrase preceding a sentential negation marker causes the sentence to have a double-negation reading (5: compare with 2):

- (5) **wela** **yo:m** **ma-** $\text{\textcircled{f}}$ ağabni l-ekil.
not.even day not-pleased.3ms-me the-food
“There wasn’t one day the food didn’t please me!”

NQ-**wela** never occurs within the scope of negation but does occur in post-verbal positions which are not *thematically entailed* by the verb (6-7)¹:

- (6) huwwa **wela** **iši**!
he not.even thing
“He is nothing!”
- (7) hiyya mağru:ra $\text{\textcircled{f}}$ ala **wela** **iši**.
she conceited.fs upon not.even thing
“She is conceited for absolutely no reason!”

The NPI-interpretation is only available within the scope of antimorphic operators (Zwarts, 1996), like sentential negation or **bidu:n** “without” (8-9):

- (8) tılıŋti **bidu:n-ma** $\text{\textcircled{f}}$ u:li **wela** **iši**.
left.2fs without-that say.2fs even thing
“You left without saying even one thing!”
- (9) la-s-senna ma-baŋŋi: **hüm** **wela** **lu:kmi** ekil.
to-the-year not-give.Is-them even bite food
“For the [first] year I don’t give them even a bite of [solid] food.”

More than one **wela**-phrase can have the NPI-interpretation at a time:

- (10) ma- $\text{\textcircled{f}}$ ult **wela** **iši** **wela** **la-ħada** fi: **hüm**.
not-said.Is even thing even to-one in-them
“I didn’t give anything at all to even one of them.”

It follows from the distributions of NQ- and NPI-**wela** that **wela**-phrases are blocked from post-verbal argument positions which are thematically entailed and which are not “roofed” (Ladusaw, 1992) by an antimorphic operator.

¹Following (Herburger, 2001), “thematically entailed” means that the meaning of the verb entails the existence of an entity filling the thematic role in question.

1.1 Negative Concord and Locality

PA negative concord is generally subject to strict locality constraints: an NPI **wəla**-phrase must be contained within the smallest inflected clause containing its licenser. It cannot be separated from its licenser by the boundary of either an indicative (12) or a subjunctive/irrealis (11) complement:

(11) ***ma-waʕatt** [ɛħki **wəla maʕ həda** fi:-həm].
not-promised.Is talk even with one in-them

(12) ***batwaḳḳaʕ-iš** [ɪnnhæ biḥibb **wəla həda**].
believe.Is-neg that.3fs likes.3fs even one

Similar sentences with weaker polarity items such as **həda** or **?aiy həda** “anyone” are acceptable:

(13) **ma-waʕatt** ɛħki maʕ (?aiy) **həda** fi:-həm.
not-promised.Is talk with any one in-them
“I didn’t promise to talk with any of them.”

(14) **batwaḳḳaʕ-iš** ɪnnhæ biḥibb (?aiy) **həda**.
believe.Is-neg that.3fs likes.3fs any one
“I don’t think that she likes ANY one.”

This suggests that negative concord is a strictly bounded dependency like agreement marking, argument realization, or reflexive binding.

However, there are exceptions to this generalization. “Long-distance” negative concord is possible between a matrix negation morpheme and **wəla**-phrases inside the complements of a small class of verbs, including **bidd-** “want” (15), **ɣalla** “to allow” (16), **ha:wal** “to try” (17, 26 below) or **ʕirift** “to know how to, to be able to” (18 below):

(15) **ma-biddna** nɣalli **wəla zəlami**.
not-want.Is leave.1p even fellow
“We don’t want to leave even one man.”

(16) **ma-ɣallu:-ni:-š** æ:kəl **wəla luḳmi**
not-allowed.3mp-me-neg eat.Is even bite
“They wouldn’t let me eat even one bite!”

The embedding can be recursive, provided that only verbs in this class are used (17).

(17) **biddi:-š** **aħa:wil** ɛħki **wəla maʕ həda**.
want.Is-neg try.Is speak.Is even with one
“I don’t want try to talk with anyone at all.”

These verbs correspond to verbs found in many other languages which trigger a process often referred to as *restructuring* or *clause union*. I follow (Aissen and Perlmutter, 1983) in calling them *trigger verbs*. Restructuring involves the stretching of the domain of locality for certain kinds of bounded dependencies from the complement of a trigger verb to include the clause that it heads.

At present no other phenomena have been identified in PA which independently confirm that it

has restructuring. However, long-distance negative concord is identified as a restructuring phenomenon in several languages such as West Flemish (Haegeman and Zanuttini, 1996), Polish (Dziwirek, 1998), and Serbian (Progovac, 2000). As such, I assume for now that long-distance negative concord in PA is a form of restructuring as well.

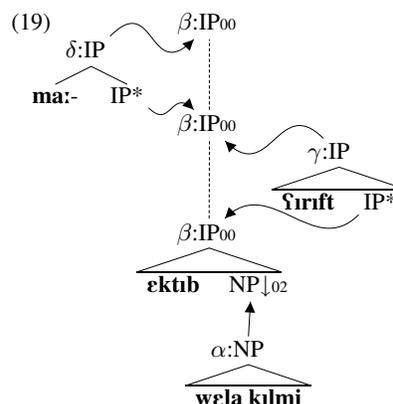
2 A TAG Analysis

Restructuring involves a seeming paradox involving a dependency which is non-local in the hierarchical structure of a sentence but local in its semantics. Tree Adjoining Grammars are well suited for analyzing restructuring because the distinction between a derived tree and the derivation tree associated with it provides two notions of locality.

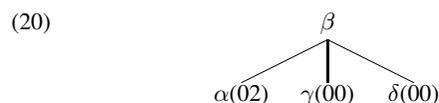
Restructuring phenomena which have been analyzed with TAGs include clitic-climbing in Spanish and Italian (Bleam, 2000; Kulick, 2000), long-distance scrambling in German (Rambow, 1994), and long-distance agreement in Tsez (Frank, 2006). It therefore is natural to explore a TAG analysis for long-distance negative concord in PA.

To illustrate with a simple example, the negative concord dependency in (18) is licensed within an initial tree headed by **ektib** “write,” and is then stretched by adjunction of the auxiliary tree headed by **ʕirift** “I was able to” (19):

(18) **ma-ʕirift** **ektib** **wəla** kilmi.
not-knew.Is write.Is even word
“I wasn’t able to write even one word.”



The locality constraint on negative concord can then be expressed as a generalization about the derivation tree (20): a **wəla**-phrase α and its licenser δ must be sisters relative to β :



However, several properties of negative concord in PA preclude a simple analysis like this.

2.1 Clause-local Dependencies

The first property is the domain of locality of the negative concord dependency. In a simple TAG, syntactic dependencies are licensed within an elementary tree: they are *tree-local*. However, negative concord in PA is *clause-local*, because **wela**-phrases are not licensed within the immediate tree to which they are attached, but instead within the immediate clausal tree containing them.

For example, **wela**-phrases can be inside prepositional phrases attached to a negative clause (21):

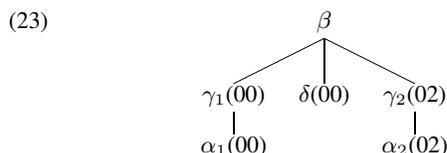
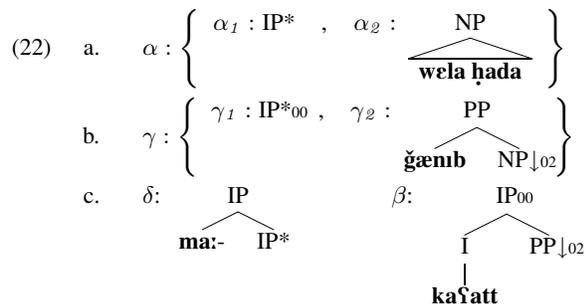
- (21) ma-kaʕatt [_{PP} ǧæmb wela ɥada fi-høm]
not-sat.1s next.to even one in-them
 “I didn’t sit next to even one of them.”

In a simple TAG analysis, the **wela**-phrase first substitutes into the initial tree headed by the preposition, and then the PP attaches to the clausal tree. The relationship between the **wela**-phrase and its licenser would therefore not be tree-local.

Clause-locality can be modeled with a “Scope TAG” (Kallmeyer and Joshi, 2003), a multi-component TAG in which quantificational NPs are tree sets containing two parts: a “defective” auxiliary tree IP* which specifies the scope of the quantifier, and an NP-tree which specifies its restriction (referred to here as “scope sets”).

While Scope-TAGs are intended for modeling quantifier scope, they can also be used to model clause locality: PPs are assigned to scope sets along with NPs, and a stipulation allows scope sets to combine with each other by means of *set-local* in addition to *tree-local* adjunction. The IP*-node in the scope-set of a **wela**-phrase can adjoin to the IP*-node in the PP scope set, which in turn adjoins to the IP-node of the initial tree.

For example, (21) above can be derived with the elementary trees in (22) (trees are abbreviated), producing the derivation tree in (23):



However, locality still can’t be defined as sisterhood in (23) because nothing in α is a sister of δ .

This can be remedied with the “node-sharing” relation proposed by (Kallmeyer, 2005): two nodes α and β are in a node-sharing relation in a derivation tree T iff they are either in a mother-daughter relation in T at a node address A , or there is a sequence S of nodes $N_1 \dots N_n$ which is the transitive closure of a mother-daughter relation in T in which the node pairs are related in terms of the root node or foot node in an auxiliary tree.

On this basis, the negative concord locality generalization is that a **wela**-phrase and its licenser are “shared-node sisters” in the derivation tree, where shared-node sisters are two nodes A and B which are each in a shared-node relation with a single node C . For example, in (23) β is a shared-node parent of both α_1 and δ . Accordingly, α_1 and δ are shared-node sisters with respect to β .

2.2 Trigger Verbs and Complement Type

The second property of PA long-distance negative concord that complicates a TAG analysis has to do with the kinds of complement that they take.

The trigger-verb vs non-trigger verb distinction is an essential one because restructuring is not the only phenomenon modeled with TAG adjunction. Long-distance \bar{A} -dependencies are derived by adjunction, and (24-25) show that the verbs that block long-distance negative concord allow long-distance \bar{A} -dependencies. This indicates that they must be analyzed as auxiliary trees as well:

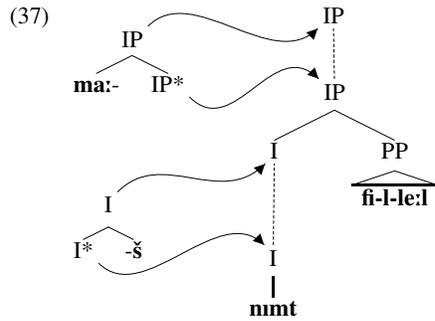
- (24) mi:n **bitwakkaf** ʕaɥsal ʕala ka:s il-ʕæ:lm?
who believe.2ms get.3ms upon cup the-world
 “Who do you think will get the World Cup?”
- (25) ʕu **waʕatt** (mnak) taʕti:-hæ?
what promised.2ms that.2ms give.2ms-her
 “What did you promise to give her?”

Failure to distinguish between trigger and non-trigger verbs will therefore over-predict the occurrence of long-distance negative concord.

PA trigger verbs vary as to the types of complements they take. For example, **ɥarwal** “try to” or **kıdır** “be able to” optionally allow a complementizer **?ınn-** (26), while **bıdd-** “want” or **ʕırf** “know to, be able to” exclude it (27):

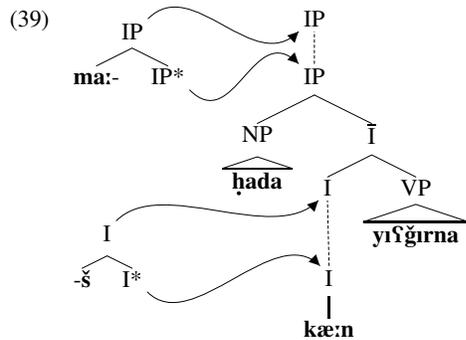
- (26) ma-ɥar:walt (**ınni**) eɥki wela maʕ ɥada.
not-trying.1s that.1s speak.1s even with one
 “I didn’t try to talk with even one of them.”
- (27) ma-bıdd-i:-iʕ (***ınni**) aʕuf wela ɥada.
not-want.1s-neg that.1s see.1s even one
 “I don’t want to see even ONE of them.”

$$(36) \left\{ \begin{array}{l} \delta_1 : \begin{array}{c} \text{IP} \\ \text{ma:-} \text{ IP}^* \end{array}, \delta_2 : \begin{array}{c} \text{I} \\ \text{I} \text{ -š} \end{array} \end{array} \right\}$$



The cases with $-\check{s}$ attached to a non-verbal expression require a second analysis. One possibility is to assume a second tree for $-\check{s}$ like the first, except with $-\check{s}$ preceding the foot node. This requires stipulating a morphological output filter that affixes $-\check{s}$ to the preceding word and blocks use of δ_2 in (36):

$$(38) \left\{ \begin{array}{l} \delta_1 : \begin{array}{c} \text{IP} \\ \text{ma:-} \text{ IP}^* \end{array}, \delta_2 : \begin{array}{c} \text{I} \\ -\check{s} \text{ I} \end{array} \end{array} \right\}$$



An alternative would be to stipulate multiple lexical entries targeting nouns, prepositions, and adverbs and to allow $-\check{s}$ to adjoin directly to the words to which it is attached morphologically. This would remove the need to stipulate extragrammatical morphological rules. However, it would also require adjunction with greater than set-local power, as well as supplementary stipulations forcing $-\check{s}$ to adjoin to the second position.

Either solutions would still not be adequate for examples like the following in which $-\check{s}$ is separated from the I^0 -node by a PP which appears to form a constituent with **ḥada**:

$$(40) \text{ma-}_{[NP]} \text{ḥada:-š} \text{ [}_{PP} \text{fi:-ḥom} \text{]] b-šif-ni.}$$

not- one-neg in-them knows.3ms-me
“Not one of them knows me.”

A TAG analysis of (40) would require **ma:-** to adjoin to the “know” tree, while the $-\check{s}$ would adjoin to the **ḥada** tree. This would require a TAG with greater than even set-local power along with

a morphological filter forcing $-\check{s}$ to adjoin into the second position.

2.4 Summary

A TAG analysis of PA long-distance negative concord allows the locality of negative concord licensing to be stated as a generalization about shared-node derivation trees. However, the analysis requires brute force stipulations to capture the morphological expression of negation in PA negative sentences. Moreover, the TAG analysis does not provide a way to express the simple morphological generalization that $-\check{s}$ is a second-position clitic.

3 A CCG Analysis

The TAG analysis founders on the distribution of $-\check{s}$ because TAG trees are phrase structures, making it difficult to state constraints on strings of words rather than on hierarchical structure. Categorical Grammar, on the other hand, is a string calculus: its operations result in string concatenation rather than structure expansion. For this reason, a CG can be constrained to not generate particular kinds of strings, and therefore provides a way to state constraints on the distribution of $-\check{s}$ more directly than a phrase-structure grammar does.

3.1 Modeling Negative Concord with CCG

I assume a *Combinatory Categorical Grammar* (Steedman, 2000b; Baldridge and Kruijff, 2003). NPI-**wela**-phrases are raised categories which look to the left for an s -headed POL- category (abbreviated as s^-) as in (41). I continue to assume the *root clause polarity principle*. NQ-**wela** phrases, on the other hand, are treated as negative quantifiers which look for their s^+ -headed argument to the right (42):

$$(41) \text{NPI-wela} :- s^- \$ \backslash (s^- \$ \backslash \text{np}) : \lambda P \lambda Q. \neg \exists x [P(x) \ \& \ Q(x)]$$

$$(42) \text{NQ-wela} :- s^+ \$ / (s^+ \$ \backslash \text{np}) : \lambda P \lambda Q. \exists x [P(x) \ \& \ Q(x)]$$

The negation morphemes have the types in (43-44) ($-\check{s}$ is semantically vacuous), while the verbs **šuft** “I saw” and **ḥa:walt** “I tried” are as in (45-46)²:

$$(43) \text{ma:-} :- s^+ / s^- : \lambda P_{st}. \neg P(e)$$

$$(44) -\check{s} :- s^- \backslash \times s^-$$

$$(45) \text{šuft} :- (s \backslash \text{np}) / \text{np} : \lambda y. \lambda x. [x \text{ saw } y]$$

$$(46) \text{ḥa:walt} :- (s \backslash \text{np}) / (s \backslash \text{np}) : \lambda x. \lambda P_{st}. [x \text{ tries } P(x)]$$

²The type assignments ignore the representation of VS word order and pro-drop sentences.

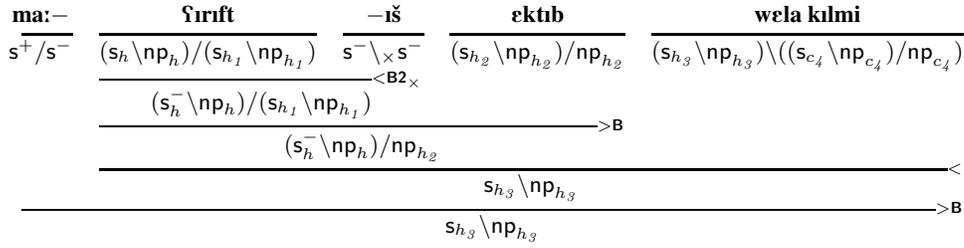


Figure 1:

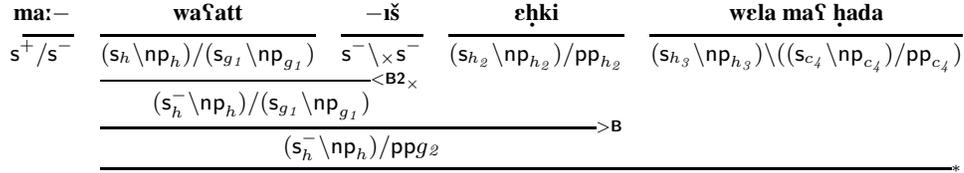


Figure 2:

For example, composing x_{g_1}/y_{g_1} with y_{h_2}/z_{h_2} gives in x_{g_1}/z_{g_2} , where h has unified with g as g .

NPI-**wela** NPs are specified with the c value:

$$(51) \quad \text{wela } \text{ʕada} :- s_{c_1} \$ \backslash (s_{c_1} \$ / np_{c_1})$$

Trigger verbs impose the h bounding feature on their complements, while non-trigger embedding verbs impose the g feature:

$$(52) \quad \text{bidd- "want," } \text{ʕirif "be able to," } \text{ʕa:wal "try to"} :- s \backslash np / (s_h \backslash np_h)$$

$$(53) \quad \text{waʕad-yu:ʕid "promise to"} :- s \backslash np / (s_g \backslash np_g)$$

According to (49), h -marked categories are compatible with c -categories, while g -marked categories clash with c -marked categories.

For example, in an analysis of (18), **wela kilmi** applies to the composition of **ʕirift** and **ektib**. This is possible because the penetrative feature c on the **wela**-phrase is compatible with the h bounding feature which **ʕirift** passes to its complement, allowing the **wela**-phrase to take the composed constituent as its argument (Figure 1).

Long-distance negative concord is blocked in two ways. A wide-scope derivation (in which the **wela**-phrase combines with the composition of the matrix and embedded verbs) is blocked by a feature clash between the g and c features (Figure 2).

This feature clash results from the composition of **waʕatt** “promise” with **ehki** “speak.” The clausal argument of **waʕatt** has a g -value for the bounding feature that unifies with the h -values marked on **ehki**. They unify as g (the more specific) feature. This spreads across the result category to the PP argument. The g value on the PP

clashes with the bounding feature specified on the **wela**-NP, blocking further derivation.

A narrow scope derivation (in which the **wela**-phrase combines with the embedded verb only) is blocked because of a resulting clash in polarity features between the embedded clause and the matrix verb (Figure 3). This expresses the intuition that **wela**-phrases have to have a local licenser.

4 Comparison and Discussion

While the TAG analysis founders on the complexities of PA negation morphology, it does provide an intuitive way to describe restructuring verbs as a natural class that excludes non-trigger verbs and includes auxiliary verbs, the other kinds of verb that are “transparent” to negative concord.

In contrast, the CCG analysis relies technical ingenuity, it not being clear to what extent the LOC-features reflects a linguistic intuition. The CCG analysis does, however, capture the linear distribution of the negation morphemes in PA. It would therefore be interesting to explore further whether the Hepple-style feature/modality approach could be associated with some linguistic phenomenon.

One possibility is to follow (Hepple, 1997) by recasting locality features as a hierarchy of thematic dependency relations. This would define the domain of locality for movement as the domain of the head in a dependency graph, and would provide a CCG analog of a TAG derivation tree.

Another possibility in a very different direction would be to explore a connection between locality features and Steedman’s theory of intonation (Steedman, 2000a). The locality features used

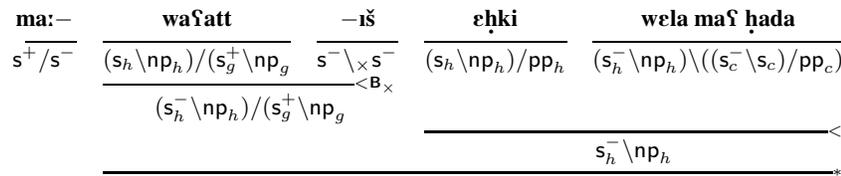


Figure 3:

here are very similar to the information structure features that Steedman's uses to model intonation in English. This suggests an investigate of the prosodic properties of restructuring sentences in Arabic (and in other languages) to see whether restructuring correlates with certain prosodic properties, and whether those properties could be modeled using an analysis like Steedman's.

There has been very little study of sentential intonation in Arabic. However, should such parallels exist, they would imply recasting Hepple's approach to extraction constraints as a theory of intonation. This would suggest a correlation between syntactic locality and prosodic phrasing, a generalization which has been noted for Italian trigger verbs (Monachesi, 1999).

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